

# Midterm Review

CS 539 / ECE 526

Distributed Algorithms

# Overview

- Models of distributed computing
- Fundamental problems and algorithms
  - Correctness proofs and efficiency
- Negative results

# Models of Distributed Computing

- **Message passing** vs. shared memory
- Generic graph vs. complete graph
- Lockstep, synchrony, asynchrony, partial sync
- No fault vs. crash fault vs. Byzantine fault
- Deterministic vs. randomized
- Cryptography (signatures) vs. not

# Algorithms Covered

- Basic graph algorithms
  - Flooding broadcast, broadcast/convergecast using a spanning tree, building a spanning tree, BFS, DFS\*
- Clock synchronization
  - 2 procs,  $n$  procs using reference, or using averaging\*
- Synchronizers: local (2), global, hybrid\*
- Logical clocks: Lamport, vector
- Consensus:
  - Flooding broadcast, Dolev-Strong, transformations
  - Reliable/consistent bcast, graded agreement, Ben-Or
  - Paxos, PBFT

# Remark

- Broadcast is an overloaded term in this class
  - Spanning tree broadcast
  - Flooding broadcast (without faults)
  - Flooding broadcast (with crash)
  - Dolev-Strong broadcast
  - Reliable broadcast, Bracha broadcast
  - Consistent broadcast
  - Graded broadcast
- Do *not* say “broadcasts  $x$ ” if you mean to say “sends  $x$  to all”

# For Each Algorithm

- What (combination of) models does it assume?
  - Why is it correct?
  - What is the efficiency?
- 
- \*What purpose does each step serve?
  - \*Is it optimal in terms of ...

# Impossibilities Covered

- Clock synchronization skew bound
- Synchronizer fault tolerance
- Two general impossibility
- Consensus round and communication bounds
- Consensus fault bounds (many)

# For Each Impossibility

- What (combination of) models does it require?  
I.e., When does it apply?
- When does it *not* apply?
- \*Is it known to be tight? Due to which algo?
- \*How is it proved? What is the intuition?



# Fault Bounds Summary

- Async deterministic:  $f = 0$ 
  - Broadcast, agreement, total-order bcast, replication
- Psync or randomized async
  - Broadcast:  $f = 0$
  - Agreement, total-order broadcast, or replication:  
crash:  $f < n/2$ , Byzantine:  $f < n/3$
- Sync
  - Crash:  $f < n$  for all four problems
  - Byzantine no signature:  $f < n/3$  for all four problems
  - Byzantine with signature
    - $f < n$  for broadcast and total-order broadcast
    - $f < n/2$  for agreement and replication

# Fault Bounds Better Summary

- Byzantine agreement:  $f < n/2$
- Byzantine replication:  $f < n/2$
- Byzantine bcast/agreement w/o sig:  $f < n/3$
- Async deterministic agreement:  $f = 0$
- Psync broadcast:  $f = 0$
- Psync crash agreement:  $f < n/2$
- Psync Byzantine agreement:  $f < n/3$

# Psync Agreement Fault Bound

- Crash:  $f < n/2$ 
  - Proof: Two groups  $|P| \leq f$  and  $|Q| \leq f$
  - Scenario I: P non-faulty & receive  $v$ , Q crash
    - P eventually commit  $v$  due to validity
  - Scenario II: Q non-faulty & receive  $v'$ , P crash
    - Q eventually commit  $v'$  due to validity
  - Scenario III: Both non-faulty, P receive  $v$ , Q receive  $v'$   
GST sufficiently large  $\rightarrow$  Both think the other crashed
    - P commit  $v$ , Q commit  $v'$

# Psync Agreement Fault Bound

- Byzantine:  $f < n/3$ 
  - Proof: Three groups  $|P| \leq f$ ,  $|Q| \leq f$ ,  $|R| \leq f$
  - Scenario I: P/R non-faulty & receive  $v$ , Q crash
    - P eventually commit  $v$  due to validity
  - Scenario II: Q/R non-faulty & receive  $v'$ , P crash
    - Q eventually commit  $v'$  due to validity
  - Scenario III: P non-faulty & receive  $v$ , Q non-faulty & receive  $v'$ , R Byzantine behave towards P like in I and towards Q like in II. GST sufficiently large.
    - P cannot distinguish from I, commit  $v$
    - Q cannot distinguish from II, commit  $v'$